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FAX 713.229.1522
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FROM Andy Grubert
DATE September 1, 2004
PAGES 37 (including cover)

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TO T. Stokes
United States Patent and Trademark Office
Customer Service Center
Initial Patent Examination Division

FAX NO. 703.746.6603
VOICE NO. 703.308-1202

MESSAGE:

Re: Application Serial No. 09/965,159

Pursuant to your recent request, attached please find the following:

1. **Response to Notice to File Missing Parts** (Transmittal, Petition for Extension of Time, Fee Transmittal, copy of check, **copy of date-stamped postcard**)
2. Declaration
3. Assignment
4. Response to Notice of Incomplete Reply (Transmittal, Fee Transmittal, Petition for Extension; English translation of specification).
5. Change of Correspondence address (**copy of date-stamped postcard**)

Please advise at your earliest convenience if additional documentation is needed. Thank you for your prompt attention to this matter.

Kim Hennessey, legal secretary to
Andreas Grubert
Baker Botts L.L.P.
713-229-1964

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ATTORNEY/EMPLOYEE NO. 04760

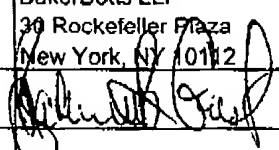
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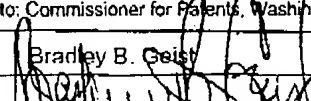
PAGE 1/37 * RCVD AT 9/1/2004 1:43:11 PM [Eastern Daylight Time] * SVR:USPTO-EFAX-2/3 * DNIS:7466603 * CSID:7132291522 * DURATION (mm-ss):08:48

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/905,369 965,169
	Filing Date	09/27/01
	First Named Inventor	Martin Kiesel
	Group Art Unit	To be determined
	Examiner Name	To be determined
Total Number of Pages in This Submission	Attorney Docket Number	A34646 071308.0240

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
Remarks <input type="checkbox"/>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	 Att Name: Bradley B. Geist PTO Reg: 27,551
Date	November 29, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 29, 2001	
Typed or printed name	Bradley B. Geist
Signature	 Date: November 29, 2001

BAKER BOTTS LLP

Attorney Docket Number: A34646 071308.0240

Title: DEVICE AND PROCESS FOR OPERATION OF AUTOMATION COMPONENTS

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BAKER BOTTS LLP

FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 170

Complete if Known

Application Number	09/965,609-169
Filing Date	09/27/01
First Named Inventor	Martin Kiesel
Examiner Name	To be determined
Group Art Unit	To be determined
Attorney Docket No.	A34646 071308.0240

METHOD OF PAYMENT

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- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	02-4377
Deposit Account Name	Baker Botts LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
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FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	0	0	0
3**	0	0	0

Multiple Dependent

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170

SUBMITTED BY

Name (Print/Type)

Bradley B. Geist

Registration No.
(Attorney/Agent)

27,551

Complete (if applicable)

Telephone

212 408-2562

Signature

Date

November 29, 2001

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BAKER BOTTS LLP

Attorney Docket Number: A34646 071308.0240

Title: DEVICE AND PROCESS FOR OPERATION OF AUTOMATION COMPONENTS

Use Space Below for Additional Information:

FROM BAKERBOTTSLLP

(WED) 9. 1' 04 12:48/ST. 12:46/NO. 4260844091 P 7

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ATTORNEYS AT LAW
30 Rockefeller Plaza
44th and 45th floors
New York, New York 10112-0228

INQUIRIES: (212) 705-5000
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I0064924	11/21/2001	BBG 34646 melody LEX 875990	170.00	0.00	170.00
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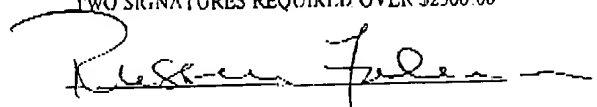
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(WED) 9. 1'04 12:48/ST. 12:46/NO. 4260844091 P 8

34646

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02 JAN 18 PM 1:03

TO

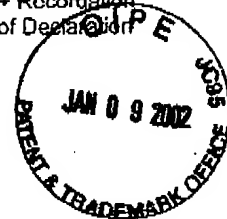
In Re:	Kiesel et al.	09/965,669
	(Applicant)	(Serial No.)
In Re:	*Missing Parts	11/29/01
		071308.0240
		A34646
	(Title of Paper)	(Date)
		(File No.)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

*Transmittal Sheet (+1 copy), German Declaration, Assignment + Recordation Cover Sheet, PTO Form and a check for \$170.00 to cover filing of Declaration and Recordation of Assignment.

BOX MISSING PARTS

NY02:358822.1



TIMELY RESPONSE

COMPLETION OF FILING FOR AMENDMENT/REQUEST FOR EXTENSION OF TIME APPENDED TO THE END OF DOCUMENT FILED, EXECUTED BY ATTORNEY WITH STATED FIRST CLASS MAILING DATE OF 11/29/01 SUCH BEING PRIOR TO THE EXPIRATION OF THE ALLOWED PERIOD

A handwritten signature, possibly "J. A.", written in dark ink.

A34646 (071308.0240)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kiesel et al.
Serial No. : 09/965,169 Examiner: t/b/a
Filed : September 27, 2001 Group Art Unit: t/b/a
For : DEVICE AND PROCESS FOR OPERATION OF
AUTOMATION COMPONENTS

RESPONSE TO NOTICE OF INCOMPLETE REPLY

I hereby certify that this paper is being deposited with the United States
Postal Service as first class mail in an envelope addressed to:
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July 23, 2002
Date of Deposit

Louis S. Sorell
Attorney Name


Signature

32,439
PTO Registration No.

July 23, 2002
Date of Signature

Commissioner for Patents

Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete Reply mailed June 26, 2002 for
the above-identified patent application, enclosed is an accurate English language
translation of the German language application referenced above.

The fee of \$130.00 for the filing of this translation is enclosed.

NY02:396940.1

FROM BAKERBOTTSLLP

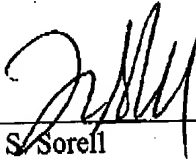
(WED) 9. 1 '04 12:51/ST. 12:46/NO. 4260844091 P 18

A34646 (071308.0240)

PATENT

In view of the foregoing, examination of this application on the merits is respectfully requested.

Respectfully submitted,




Louis S. Sorell
Patent Office Reg. No. 32,439

Attorney for Applicant
(212) 408-2500

Enclosures

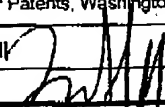
NY02:396940.1

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/965,169
	Filing Date	September 27, 2001
	First Named Inventor	Martin Kiesel
	Group Art Unit	t/b/a
	Examiner Name	t/b/a
Total Number of Pages in This Submission	Attorney Docket Number	A34646

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Preliminary amendment, substitute specification, comparison
Remarks <input type="checkbox"/>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	Att Name: Louis S. Sorell PTO Reg: 32,439
Date	July 23, 2002

CERTIFICATE OF MAILING	
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Typed or printed name	Louis S. Sorell
Signature	
Date	July 23, 2002

BAKER BOTTS LLP

Attorney Docket Number: A34646

Title:

DEVICE AND PROCESS FOR OPERATION OF AUTOMATION COMPONENTS

Use Space Below for Additional Information:

BAKER BOTTS LLP**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT**(\$)** 518**Complete if Known**

Application Number	09/965,169
Filing Date	September 27, 2001
First Named Inventor	Martin Kiesel
Examiner Name	U/b/a
Group Art Unit	U/b/a
Attorney Docket No.	A34846

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-4377

Deposit Account Name: Baker Botts LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
26	20 ** = 6	18	108
2	3 ** = 0		0
Multiple Dependent		280	280

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 388

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	130
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,980	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
820	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130**SUBMITTED BY**

Name (Print/Type)

Louis S. Sorell

Signature

Registration No.
(Attorney/Agent)

32,439

Complete (if applicable)

Telephone

(212) 408-2500

Date

July 23, 2002

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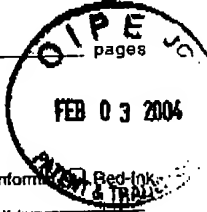
Attorney Docket Number: A34646

Title: DEVICE AND PROCESS FOR OPERATION OF AUTOMATION COMPONENTS

Use Space Below for Additional Information:

Papers filed herewith on: 2/3/04
 DOCKET NO.: 071308-6240
 ATTY/SEC.: Hubert / Hennessy
 APPLICANT(S): Kipari
 USSN: 09/963,114 FILED: 9-27-01
 PATNO.: _____

☐ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.114(RCE)
☐ Filing Under 37 CFR 1.53(d) (CPA)
☐ Specification Consisting of: _____ pages
☐ Declaration
☐ Power of Attorney
☐ Assignment / Cover Letter
☐ Letter to Official Draftsman
☐ Drawings _____ Sheets ☐ Formal ☐ Informal ☐ Bed-Ink
☐ Priority Document(s) _____
☐ Amendment:
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity
☐ Response _____
☐ Information Disc. Stmt. PTO-1449(s) _____ ref(s)
☐ Notice of Appeal ☐ Appeal Brief
☐ Issue Fee Transmittal
☐ FEES:
☐ Other: Change of Counsel;
Unlimited Retainer



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